

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/751,659

12/30/2000

Brian James Martin

55,419 (70158)

CONFIRMATION NO. 8881

FORMALITIES LETTER

OC00000005765693

Dike, Bronstein, Roberts & Cushman, LLP Intellectual Property Practice Group EDWARDS & ANGELL, LLP 130 Water Street Boston, MA 02109

Date Mailed: 02/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/28/2001 EEKUBAY1 00000089 09751659

01 FC:101 02 FC:102 03 FC:103 04 FC:105 710.00 OP 480.00 OP 396.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

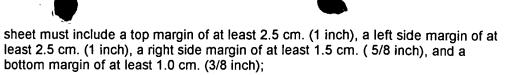
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$894.
 - **\$414** for **23** total claims over 20.
 - \$480 for 6 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1734.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each



A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MAR 2 6 2001 PERFECT AND THE PROPERTY OF TRADEMARKS

Attorney Docket No. 55,419 (70158)

Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Brian James Martin

EXAMINER: Unassigned

SERIAL NO.: 09/751,659

GROUP: 2152

FILED:

December 30, 2000

FOR:

PARALLEL DISPATCH WAIT SIGNALING METHOD, METHOD FOR

REDUCING CONTENTION OF HIGHLY CONTENDED DISPATCHER LOCK, AND RELATED OPERATING SYSTEMS, MULTIPROCESSOR COMPUTER

SYSTEMS AND PRODUCTS

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 22, 2001.

Norah C. Sullivan

RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE GRANTED AND REQUEST FOR CORRECTED OFFFICIAL FILING RECEIPT

Sir:

The following is in response to the Notice to File Missing Parts- Filing Date Granted (PTO-1533) mailed February 14, 2000, in the above referenced application.

Applicants believe that no extension of time is required since this response is being filed before the expiration of the specified time period. Applicants, however, conditionally petition for an extension of time to provide for the possibility that such a petition has been inadvertently overlooked and is required. As provided below charge Deposit Account No. **04-1105** for any required fee.

Brian James Martin 09/751,659 RESPONSE TO MISSING PARTS NOTICE Page 2

Enclosed herewith for filing in the subject application are the following:

- 1. A copy of the Notice to File Missing Parts-Filing Date Granted for the subject application.
- A properly signed Assignment and Declaration in compliance with 37 CFR §1.63 with power of attorney, identifying the application by the above Application Number and date.

Completion of application filing fees as calculated below:

Enclosed herewith is a check for \$1,716.00 in payment of the total fee due. In this regard Applicant would note that while the Notice to File Missing Parts indicates that there are 43 total claims, according to Applicant's copy of the as-filed application there are only 42 total claims. Accordingly, Applicant adjusted the total additional claims fee to be paid to reflect 42 total claims. If, however, Applicant is incorrect then the Commissioner is authorized to change the deposit account as more particularly provided below.

Brian James Martin 09/751,659 RESPONSE TO MISSING PARTS NOTICE Page 3

Applicant also respectfully requests a corrected official filing to reflect any corrections resulting from the within Response and/or the enclosed declaration.

Applicant believes that additional fees are not required, however, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. 04-1105. In particular, the Commissioner is hereby authorized to charge any deficiencies in the following fees: filing fees (37 C.F.R. §1.16(a), (f) or (g)); fees associated with the presentation of extra claims (37 C.F.R. §1.16(b), (c) and (d)); surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application (37 C.F.R. §1.16(e)); extension fees pursuant to § 1.136(a) (37 C.F.R. §1.17(a)(1)-(5)); and application processing fees (37 C.F.R. §1.17).

Respectfully submitted,

EDWARDS & ANGELL

DIKE, BRONSTEIN, ROBERTS & CUSHMAN

Intellectual Property Practice Group

Date: March 22, 2001

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